

01-25-02

#11

1/30/02

CPA/290

Express Mail Label No. EL598673299US

Docket No.: END919990116US1

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL
(Large Entity)

(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

Submit an original, and a duplicate for fee processing.

Duplicate ☐

FIRST NAMED INVENTOR	EXAMINER	GROUP/ART UNIT
R. F. Barnard	Douglas Hess	2167

Address to:
Commissioner of Patents
Box CPA
P.O. Box 2327
Arlington, VA 22202

This is a request for a ☒ continuation, or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA) of prior application number 09/444,254 filed on 22 Nov 1999 and entitled: System and Method for Project Preparing a Procurement and Accounts Payable System.

ASSIGNEE NAME: International Business Machines
ASSIGNEE RESIDENCE: Armonk, New York

01. ☒ Enter the unentered amendment previously filed on 1 Dec 2001 under 37 CFR 1.116 in the prior nonprovisional application.
02. ☒ A preliminary amendment is enclosed.
03. ☐ This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
- a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
- b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
04. ☐ A new power of attorney or authorization of agent is enclosed.
05. ☐ An Information Disclosure Statement (IDS) is enclosed:
- a. ☐ PTO-1449
- b. ☐ Copies of IDS Citations

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JAN 29 2002

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01/28/2002 AWONDAF1 00000098 090457 09444254

01 FC:11 740.00 CH
02 FC:12 252.00 CH
03 FC:12 168.00 CH

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(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

Total Pages this Submission: 3

06. ☒ The fee for this application is calculated as follows:

CLAIMS AS FILED					
For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	22	-20	2	x \$18.00	\$ 36.00
Indep. Claims	6	- 3	3	x \$84.00	\$ 252.00
Multiple Dependent Claims _____					\$
				BASIC FEE	\$ 740.00
Other FEE (Specify purpose)					\$
				TOTAL FILING FEE	\$1,028.00

07. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to IBM Corporation Deposit Account No. **09-0457**:

- ☒ fees required under 37 C.F.R. 1.16.
☒ fees required under 37 C.F.R. 1.17.
☐ fees required under 37 C.F.R. 1.18.

08. ☐ A check in the amount of \$ _____ is enclosed.

09. ☐ Also enclosed:

10. ☐ The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below:

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL
(Large Entity)

(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

NOTES

Submit an original, and a duplicate for fee processing.

FILING QUALIFICATIONS: The prior application must be a nonprovisional application that is either (1) complete as defined by 37 C.F.R. 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty year patent term provisions of 35 USC 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d), but must be filed under 37 C.F.R. 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of the CPA will be construed to include a waiver of confidentiality by the Applicant Under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or application in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

Dated: 21 JAN 2002


signature

Shelley M Beckstrand, Attorney
Reg. No. 24,886

314 Main Street
Owego, NY 13827-1616
Phone: (607) 687-9913

- ☐ Inventor(s)
☐ Assignee of complete interest
☒ Attorney or agent of record

cc:

a:\transmitCPA.wpd



Docket No. END919990116US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: R. F. Barnard, et al.
Serial No.: 09/444,254
Filed: 22 Nov 1999
Group No.: 2167
Examiner: Douglas A. Hess

For: SYSTEM AND METHOD FOR PROJECT PREPARING A PROCUREMENT
AND ACCOUNTS PAYABLE SYSTEM

Assistant Commissioner For Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number: EL598673299US

Date of Deposit: 22 JAN 2002

I hereby certify that the following attached paper or fee

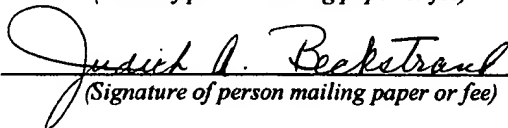
Postcard
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Petition for Extension of Time
Continued Prosecution Application (CPA) Request Transmittal
Preliminary Amendment

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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Box CPA, Commissioner of Patents, P.O. Box 2327, Arlington, VA 22202.

JUDITH A. BECKSTRAND

(Name of person mailing paper or fee)


(Signature of person mailing paper or fee)

NOTE: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g., complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

NOTE: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail". Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings, it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

Applicant : R. F. Barnard, et al
Serial No. : 09/444,254
Filed : 22 Nov 1999

Examiner : Douglas A. Hess
Group : 2167

Entitled : System and Method for Project Preparing
a Procurement and Accounts Payable
System
Docket No. : END919990116US1

PRELIMINARY AMENDMENT

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

This amendment is filed prior to examination in the
above identified application, which is a Continued
Prosecution Application (CPA).

Claims 4-25 are in the case.

Please amend the above cited application as follows:

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#12
01/30/02
Re/amend SB
D
(HE)

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